Mizuho International plc



Anti-Bribery Policy Statement

Mizuho International plc ("MHI") is committed to carrying out business with the highest standards of integrity and fair dealing and without the use of corrupt practices or acts of bribery to obtain an advantage.

MHI recognises the damage that bribery and corruption cause to society and to the business environment and has adopted a formal policy and implemented appropriate measures to prevent bribery.

The Anti-Bribery Policy applies to all MHI employees and anyone acting on behalf of the company. The aim of the policy is to ensure that MHI complies with applicable anti-bribery laws, regulations and guidance and the requirements of the Anti-Bribery Policy for Mizuho Securities Group Companies.

MHI attaches the utmost importance to compliance with the Anti-Bribery Policy and will not tolerate any form of bribery and corruption. MHI expects the same approach from its business partners and suppliers.

In accordance with the Anti-Bribery Policy, MHI will take appropriate steps to ensure that:

- All employees are aware of and comply with the policy through training programmes and dissemination of the policy and guidance.
- A risk assessment is undertaken at least once a year to identify the bribery risks faced by MHI, and in relation to any new business, product or business partnership.
- It does not offer, promise, accept or demand, directly or indirectly, a bribe or other undue advantage (including excessive gifts and hospitality) to secure or retain business or gain any other improper advantage.
- It does not offer or give in to demands to make illicit or illegal payments to public officials of any level.
- It only engages and remunerates agents, introducers or other business partners for legitimate services in a transparent manner.
- The procurement of goods and services from suppliers is conducted in a manner that is transparent and free from improper incentives.
- Its employees and business partners raise concerns and disclose suspicions and incidences of bribery in a timely manner.
- Robust management systems and controls are in place to discourage bribery, including management review, financial accounting and auditing practices.
- The policy and the systems and controls are regularly reviewed and updated where necessary.

Any questions or requests for further information in respect of the Anti-Bribery Policy should be directed to the Compliance Department: <u>mhi.compliance@uk.mizuho-sc.com</u>