

## **Mizuho International plc Anti-Slavery and Human Trafficking Statement**

Slavery and human trafficking are violations of fundamental human rights and are offences under The Modern Slavery Act 2015 (the “MSA 2015”).

Mizuho International plc (“MHI”) is a company with a turnover of not less than £36 million (an amount prescribed by The Modern Slavery Act 2015 (Transparency in supply Chains) Regulations 2015 (SI 2015/1833)) and therefore is bound to make an official statement as required by Section 54 of the MSA 2015 in respect of any financial year ending on or after 31 March 2016.

### **Supply chains and due diligence procedures**

During the financial year ended 31 March 2019 MHI continued its commitment to combat slavery and human trafficking and to ensure that its supply chains are free of slavery and/or human trafficking. As part of this process MHI has in place an Anti-Slavery and Human Trafficking Policy. That Policy reflects MHI’s commitment to acting ethically and with integrity in all its business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in its supply chains. The Policy states that MHI is committed to ensuring that there is transparency in its business and in its approach to tackling slavery throughout its supply chains

To ensure that there is no slavery or human trafficking in its supply chains MHI intends to take the following further steps to combat slavery and human trafficking:

- (i) consider all current and future third party relationships with such anti-slavery and human trafficking issues in mind and include necessary wording in new and existing agreements;
- (ii) send questionnaires via its third party risk management platform to Third Parties seeking information on their practices to comply with the MSA 2015;
- (iii) conduct due diligence and background checks in relation to responses from such Third Parties to satisfy itself that appropriate responses have been received from such questionnaires; and
- (iv) not knowingly support and/or do business with any suppliers who are involved in slavery or human trafficking.



## **Training**

Training is fundamental to raising awareness of anti-slavery and human trafficking issues. MHI continues to enforce its online training solution in the last 12 months for its permanent, temporary and fixed term workers. Such training provides an increased awareness and understanding of slavery and human trafficking amongst MHI permanent, temporary and fixed term workers and of MHI's obligations under the MSA 2015.

Due to the nature of MHI's business and its approach to governance, MHI assesses that there is very low risk of slavery and human trafficking in MHI's business and supply chains. However MHI aims to periodically review the effectiveness of the relevant policies and procedures that it has in place.

## **Approval procedure**

This statement, which will be reviewed annually and updated as required, has been reviewed by MHI's key stakeholders, senior management and approved by its Board of Directors on 7 November 2019.

## **Conclusion**

This statement is made pursuant to Section 54 (1) of the MSA 2015 and constitutes MHI's Anti-slavery and Human Trafficking statement for the financial year ending 31 March 2019.



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Suneel Bakhshi  
President & Chief Executive Officer