



Privacy Notice

Mizuho International plc
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1 Introduction

1.1 Definitions, Acronyms and Abbreviations

The following acronyms and abbreviations are used throughout this document

Affiliate	An entity owned by the Mizuho Financial Group, Inc.
MHI	Mizuho International plc
Personal Data	any information relating to an identified or identifiable natural person; an identifiable natural person is one who can be identified, directly or indirectly, in particular by reference to an identifier including, but not limited to: <ul style="list-style-type: none"> • A Name (First Name, Last Name, Alias); • An identification number (including, but not limited to, Passport number, Employee Number or Driving License Number); • An online identifier; • Location data (home or work addresses); • Contact information (telephone numbers, email addresses); • Photographs and biometric data; • one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person.
Staff	Refers to employees, temporary workers, contractors and 3 rd party consultants.

1.2 Purpose

For the purposes of data protection law, MHI is a data controller in respect of your Personal Data. MHI is responsible for ensuring that it uses your Personal Data in compliance with data protection law.

MHI is registered in the UK and its registered address is at Mizuho House, 30 Old Bailey, London, England, EC4M 7AU and its company registration number is 01203696.

This Privacy Notice applies if MHI processes Personal Data of contractors, contractors, market participants and clients. The Privacy Notice sets out the basis on which any Personal Data about you that you provide to MHI, that MHI creates, or that MHI obtains about you from other sources, will be processed by MHI. Please take the time to read and understand this Privacy Notice.

1.3 Types of Personal Data collected

MHI collects and processes the following Personal Data about you:

- Contact details (including address, telephone numbers, email addresses);
- Individuals' names;
- Personal identifiers (including tax codes, national identification references, National Insurance numbers, bank account details and passport numbers);
- Photographs;
- Information about our business relationship with you;
- Professional information (including job title, role, department)
- Communication preferences (including preferred language)

- Vendor contact and sub-contractor details;
- Data subject details associated with the author or modifier of electronic records.

1.4 Purpose of Processing the Personal Data

Your Personal Data may be stored and processed by MHI in the following ways and for the following purposes:

- Allowing MHI to provide services to you;
- Backup retention and management supporting business continuity;
- Contacting you as part of the conduct of normal business;
- Providing an audit trail relating to the conduct of normal business; and
- For operation and maintenance purposes, IT applications and infrastructure.

MHI is entitled to use your Personal Data in these ways because:

- the performance of MHI's obligations under its contract with you (otherwise MHI may not be able to fulfil its obligations and provide services to you);
- MHI has legal and regulatory obligations that it has to discharge;
- MHI may need to in order to establish, exercise or defend its legal rights or for the purpose of legal proceedings; or
- the use of your Personal Data as described is necessary for MHI's legitimate business interests (or the legitimate interests of one or more of MHI's affiliates), such as:

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| <ul style="list-style-type: none"> ○ Accounting; ○ Administration of employee benefits; ○ Book Maintenance; ○ Business Continuity Planning; ○ Change Management; ○ Collateral Management and Treasury operations; ○ Communications (telephones, intranet, internet, emails); ○ Compliance checks and conflict monitoring; ○ Data Management and Governance; ○ Enterprise Collaboration and Mobility ○ Accounts Payable processing; ○ Fraud prevention; ○ Health and Safety management; ○ Information Security management and administration; ○ IT controls; ○ IT Disaster Recovery and BCP Plan Management; | <ul style="list-style-type: none"> ○ Legal management; ○ Mobile device management and service provision; ○ Payroll processing; ○ Provision of employee medical and life insurance; ○ Purchasing and procurement; ○ Recruitment and Human Resource administration; ○ Regulatory monitoring and administration; ○ Regulatory reporting; ○ Risk Management; ○ Risk Management and Reporting; ○ Sales and Trading and Order Management; ○ Sales Credit and Commission Management Reporting; ○ Sanctions, KYC and AML screening; ○ Staff record keeping; ○ Staff training; ○ Trade Affirmation and Clearing; ○ Travel and Entertainment; ○ Travel Bookings; ○ User access verification; |
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1.5 Third party recipients of personal data

MHI may disclose your Personal Data to its affiliates. MHI will take steps to ensure that the Personal Data is accessed only by staff of such affiliates that have a need to do so for the purposes described in this Privacy Notice.

MHI may also share your Personal Data outside of MHI and its affiliates:

- to MHI vendors and market participants;
- if MHI sells any of its business or assets, in which case MHI may disclose your Personal Data to the prospective buyer for due diligence purposes;
- if MHI is acquired by a third party, in which case Personal Data held by MHI about you will be disclosed to the third party buyer;
- to third party agents or contractors for the purposes of providing services to MHI. These third parties will be subject to confidentiality requirements and they will only use your Personal Data as described in this Privacy Notice; and
- to the extent required by law, for example if MHI is under a duty to disclose your Personal Data in order to comply with any legal obligation, establish, exercise or defend its legal rights.

1.5.1 Transfer of personal data to a third country

The Personal Data that MHI collects from you may be transferred to, and stored at, a destination outside the European Economic Area (“EEA”). It may also be processed by staff operating outside of the EEA who work for MHI’s affiliates or for one of MHI’s suppliers.

Where MHI transfers your Personal Data outside the EEA, it will ensure that it is protected in a manner that is consistent with how your Personal Data will be protected by MHI in the EEA. This can be done in a number of ways, for instance:

- the country that MHI sends your Personal Data to might be approved by the European Commission;
- the recipient might have signed up to a contract based on “model contractual clauses” approved by the European Commission, obliging them to protect your Personal Data; or
- where the recipient is located in the US, it might be a certified member of the EU-US Privacy Shield scheme.

In other circumstances the law may permit MHI to otherwise transfer your Personal Data outside the EEA. In all cases, however, MHI will ensure that any transfer of your Personal Data is compliant with data protection law.

You can obtain more details of the protection given to your Personal Data when it is transferred outside the EEA (including a copy of the relevant safeguards) by contacting MHI as set out in section 1.7.

1.5.2 Technical and organisational security measures

MHI ensures that suitable contractual arrangements are in place with third parties such that safeguards equivalent to MHI’s are in place for the processing of your Personal Data.

1.5.3 Retention time

Personal Data is kept for the time necessary to provide the services to the User or to fulfil legal, contractual or ongoing business interests. Once the purpose for processing the Personal Data has been discharged MHI shall keep such Personal Data no longer than is necessary, subject to any laws or regulations that may set a minimum period for which MHI has to keep your Personal Data.

1.6 The Rights of Data Subjects

Subject to applicable exemptions, you have the right, at any time:

- to obtain information regarding the processing of your Personal Data and access to the Personal Data which MHI holds about you;
- where it was basis of MHI processing your Personal Data, to withdraw your consent to MHI processing your Personal Data at any time;
- to receive some Personal Data in a structured, commonly used and machine-readable format and/or request that MHI transmits those data to a third party where this is technically feasible;
- to request that MHI rectifies your Personal Data if it is inaccurate or incomplete;
- to request that MHI erase your Personal Data;
- to object to, and the right to request that MHI restricts its processing of your Personal Data; and
- to lodge a complaint with the data protection regulator if you think that any of your rights have been infringed by MHI.

You can exercise these rights by contacting MHI as detailed in section 1.7. You can find out more information about your rights by contacting the Information Commissioner's Office, or by searching their website at <https://ico.org.uk/>.

1.7 Contact

If you would like further information on the collection, use, disclosure, transfer or processing of your Personal Data or the exercise of any of the rights listed above, please address questions, comments and requests to:

Chief Data Officer
Mizuho International plc
Mizuho House
30 Old Bailey
London
EC4M 7AU

Contact email: datacontroller@uk.mizuho-sc.com

1.8 Changes to this Privacy Notice

MHI reserves the right to make written changes to this Privacy Notice at any time. If MHI makes any changes, it will notify you.